



# BERT and NMT



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**NAVER**



# Motivation

DEVIEW  
2019

How

Why

can **BERT** improve Machine Translation Models ?



# CONTENTS

**DEVIEW  
2019**

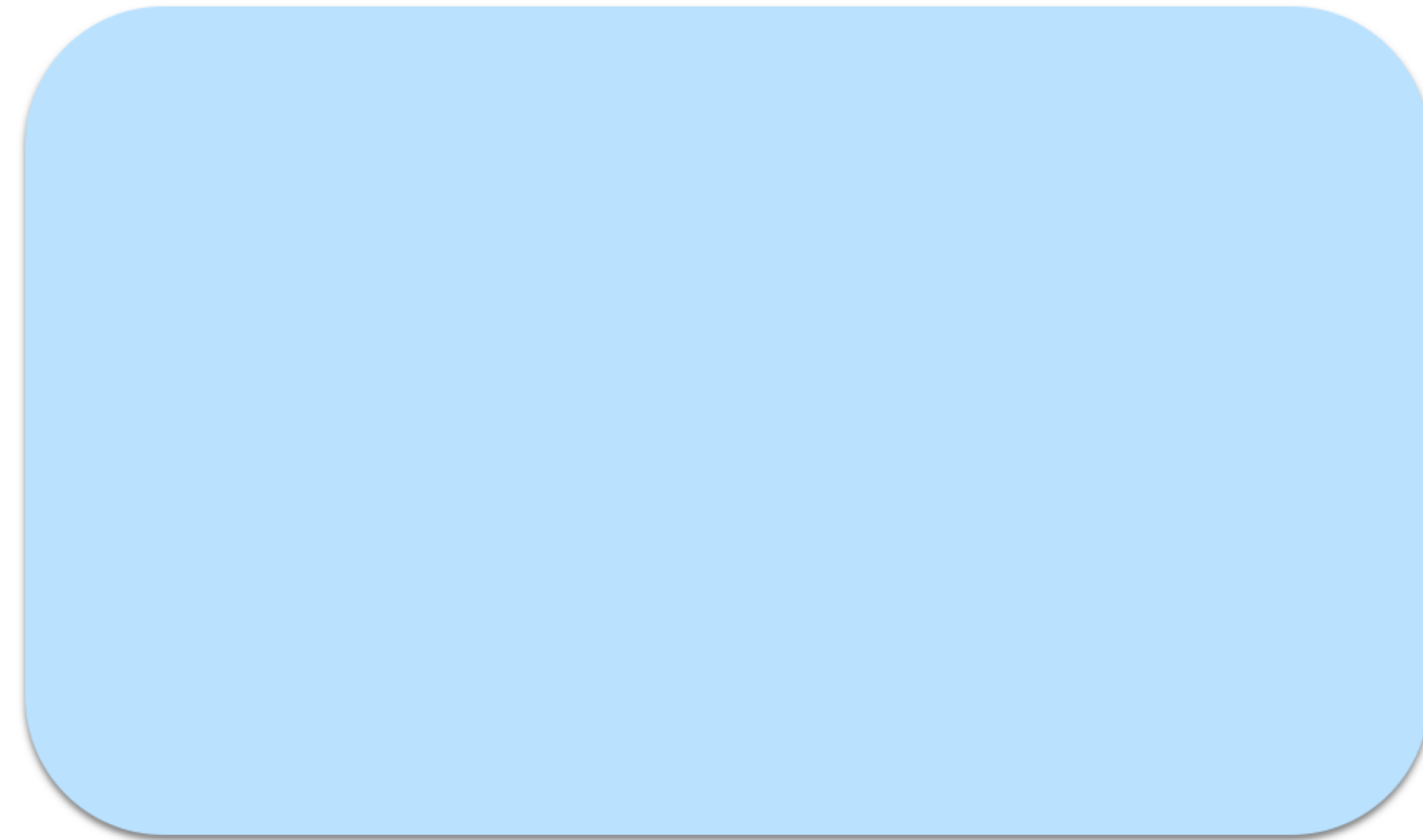
1. Neural Machine Translation
2. BERT
3. Combining BERT and NMT
4. Experiments

# A brief introduction to Neural MT

# Neural Machine Translation

SOURCE :

존은 메리를 사랑합니다

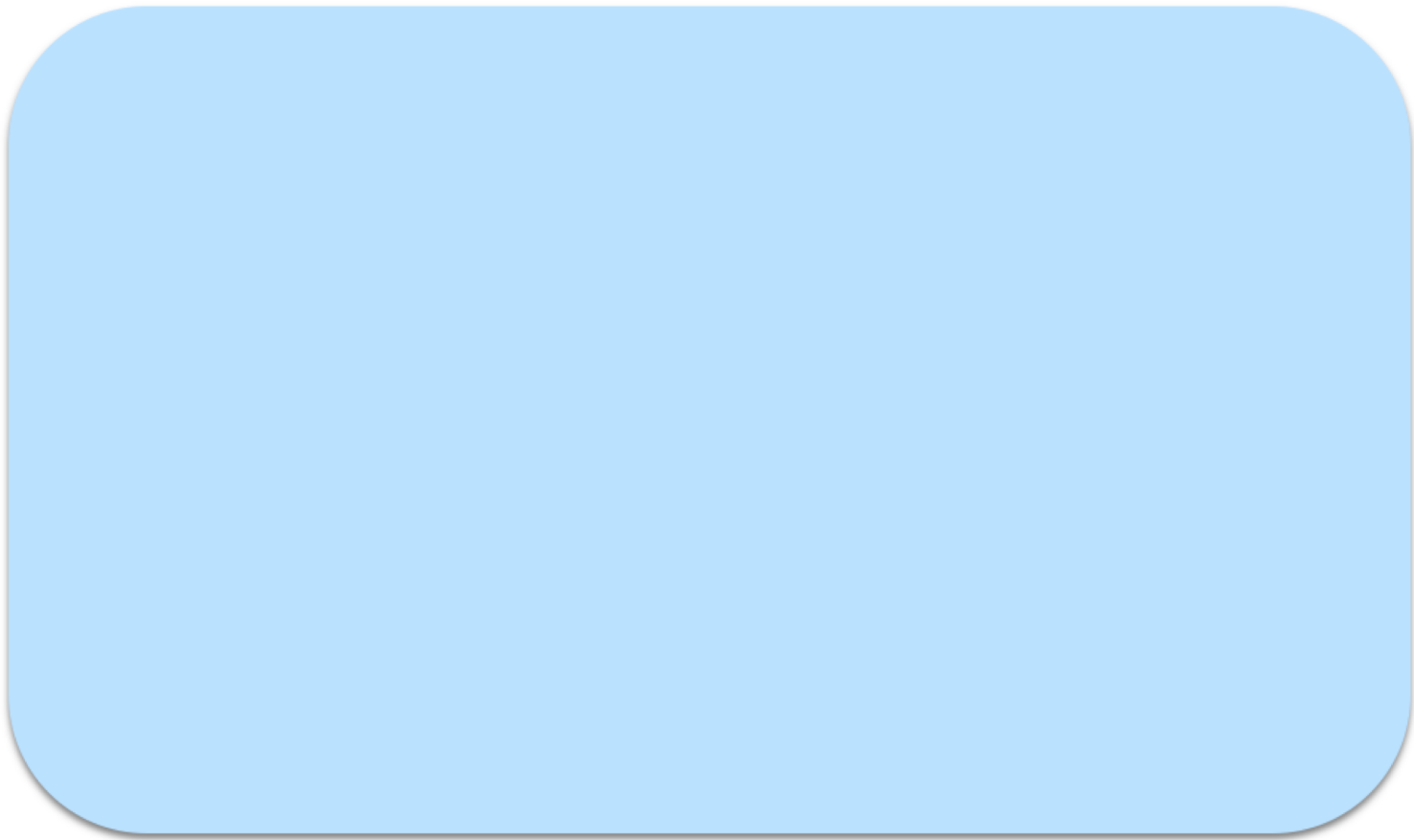


TARGET :

# Neural Machine Translation

존\_ 은 메리\_ 를 사랑\_ 합니다

Byte Pair encoding (BPE)



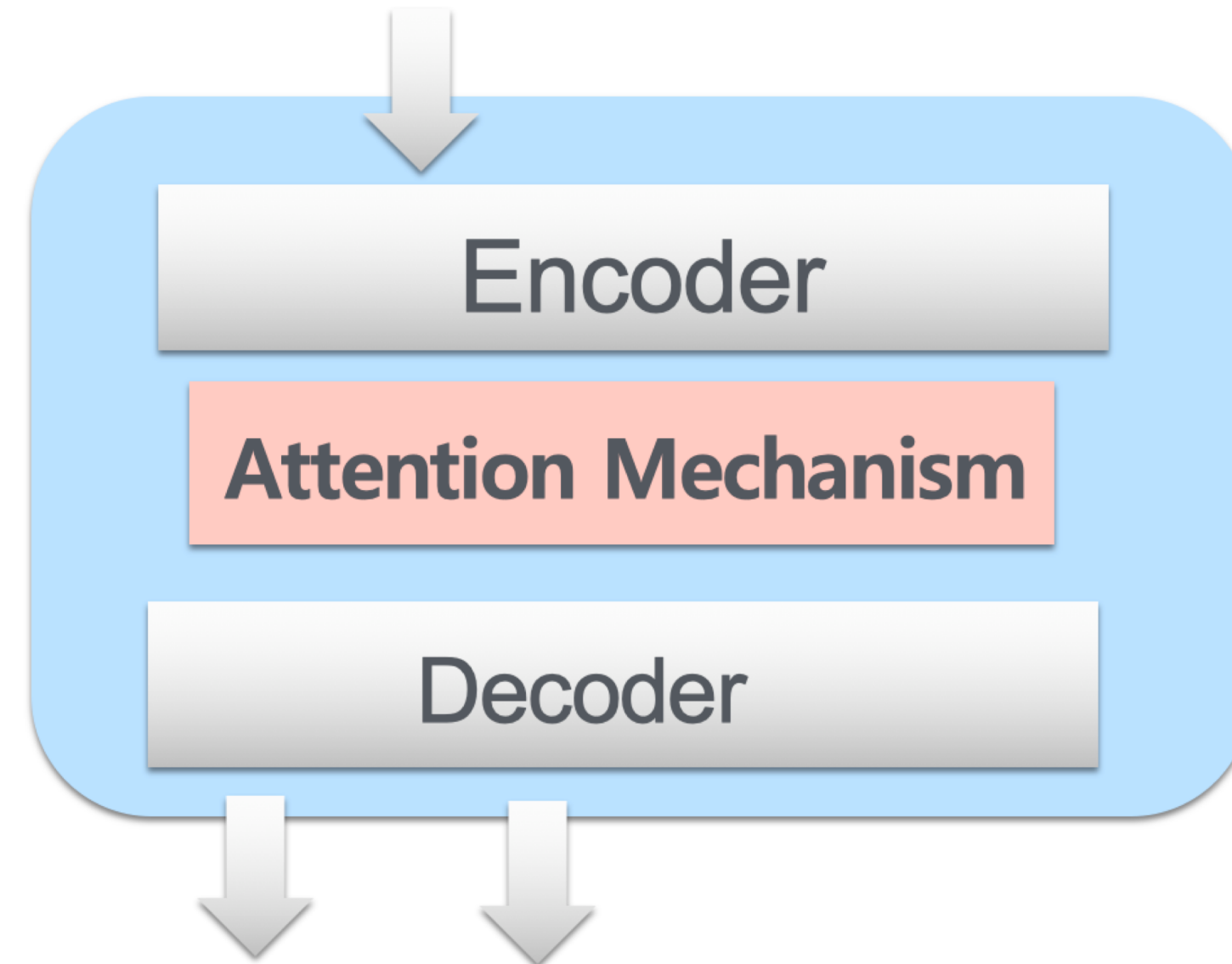
TARGET :



# Neural Machine Translation

존\_ 은 메리\_ 를 사랑\_ 합니다

Byte Pair encoding (BPE)



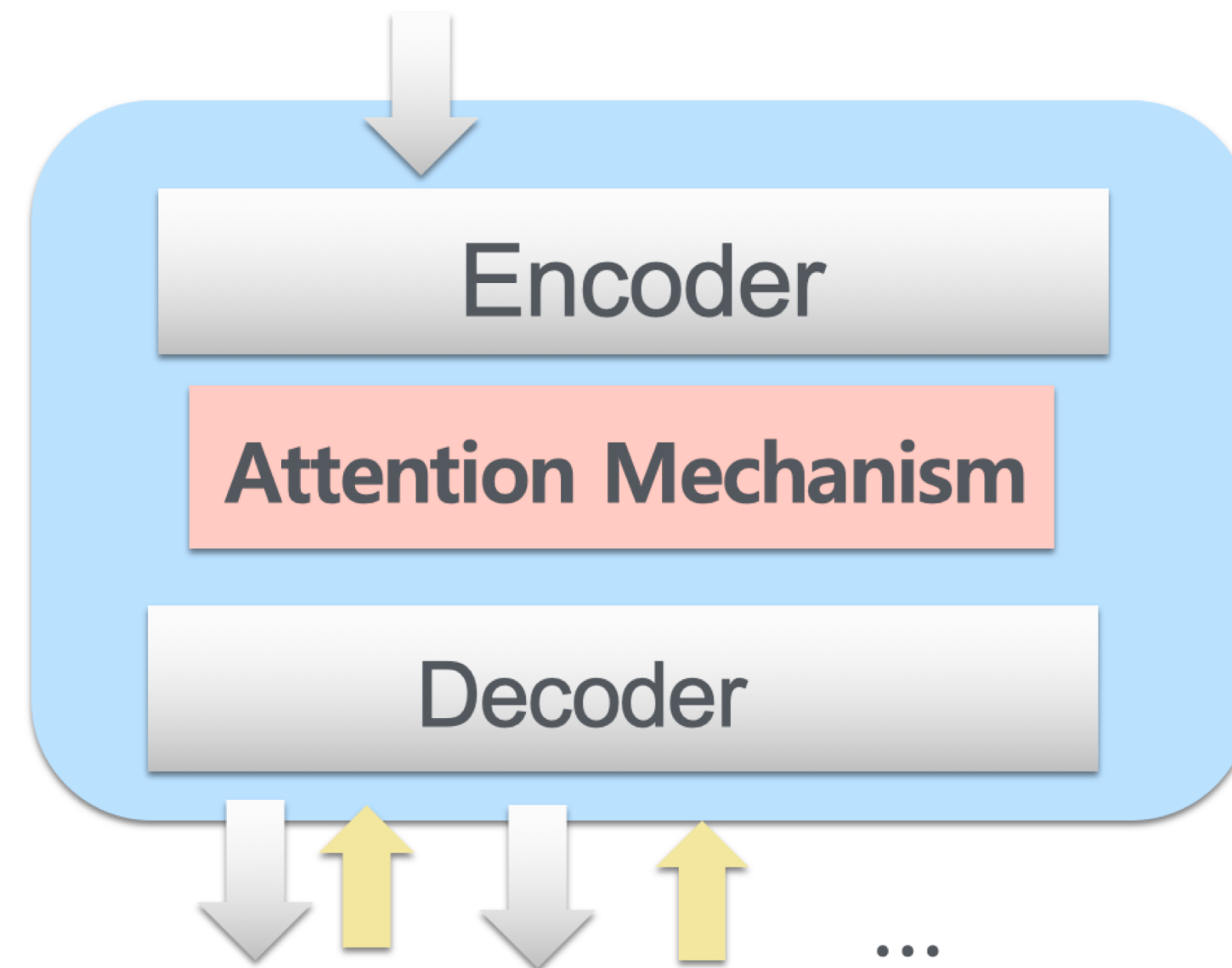
TARGET :

John

# Neural Machine Translation

존\_ 은 메리\_ 를 사랑\_ 합니다

Byte Pair encoding (BPE)



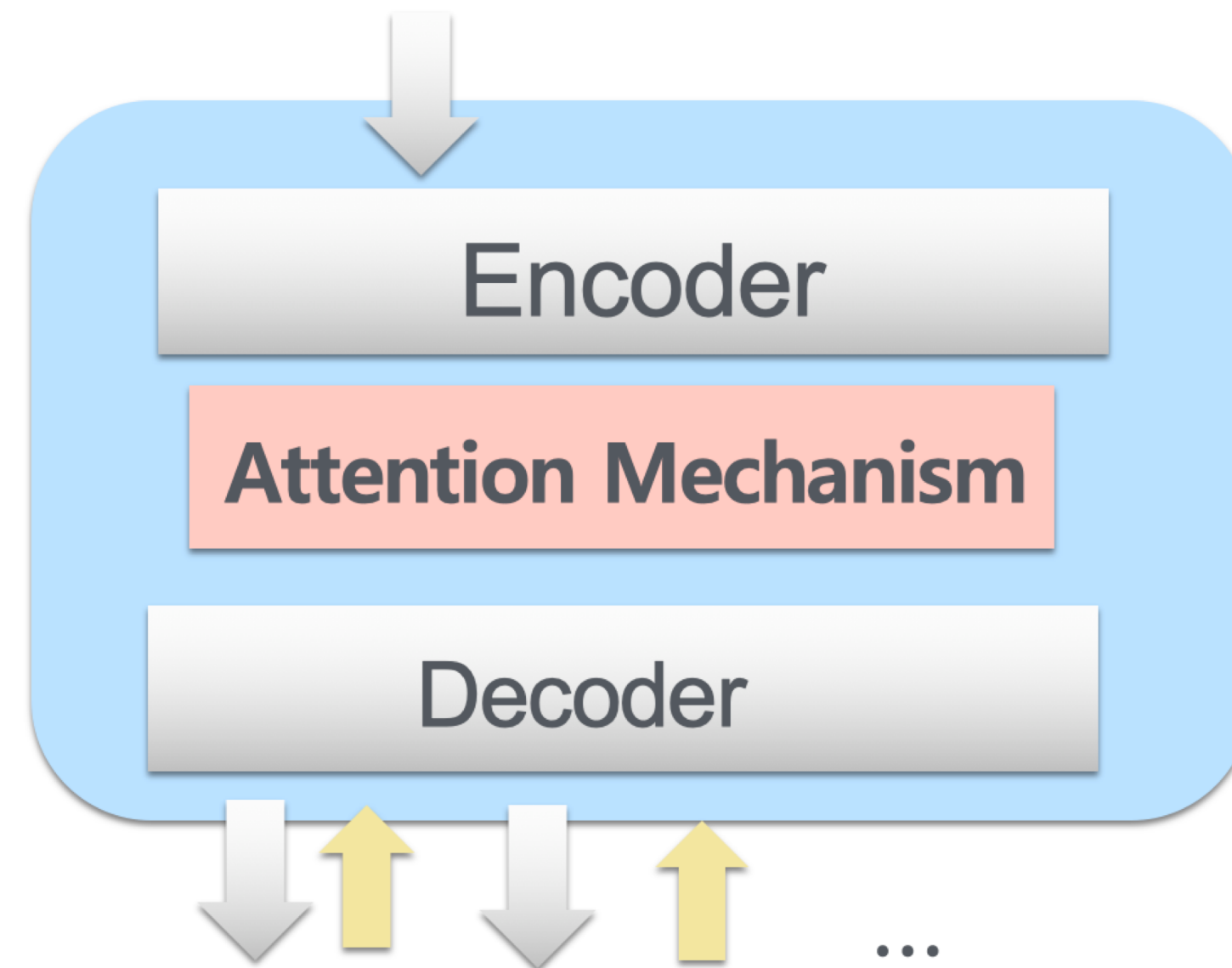
TARGET :

John love\_ s Mary <EOS>

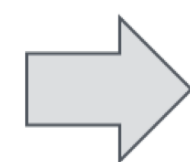
# Neural Machine Translation

존\_ 은 메리\_ 를 사랑\_ 합니다

Byte Pair encoding (BPE)



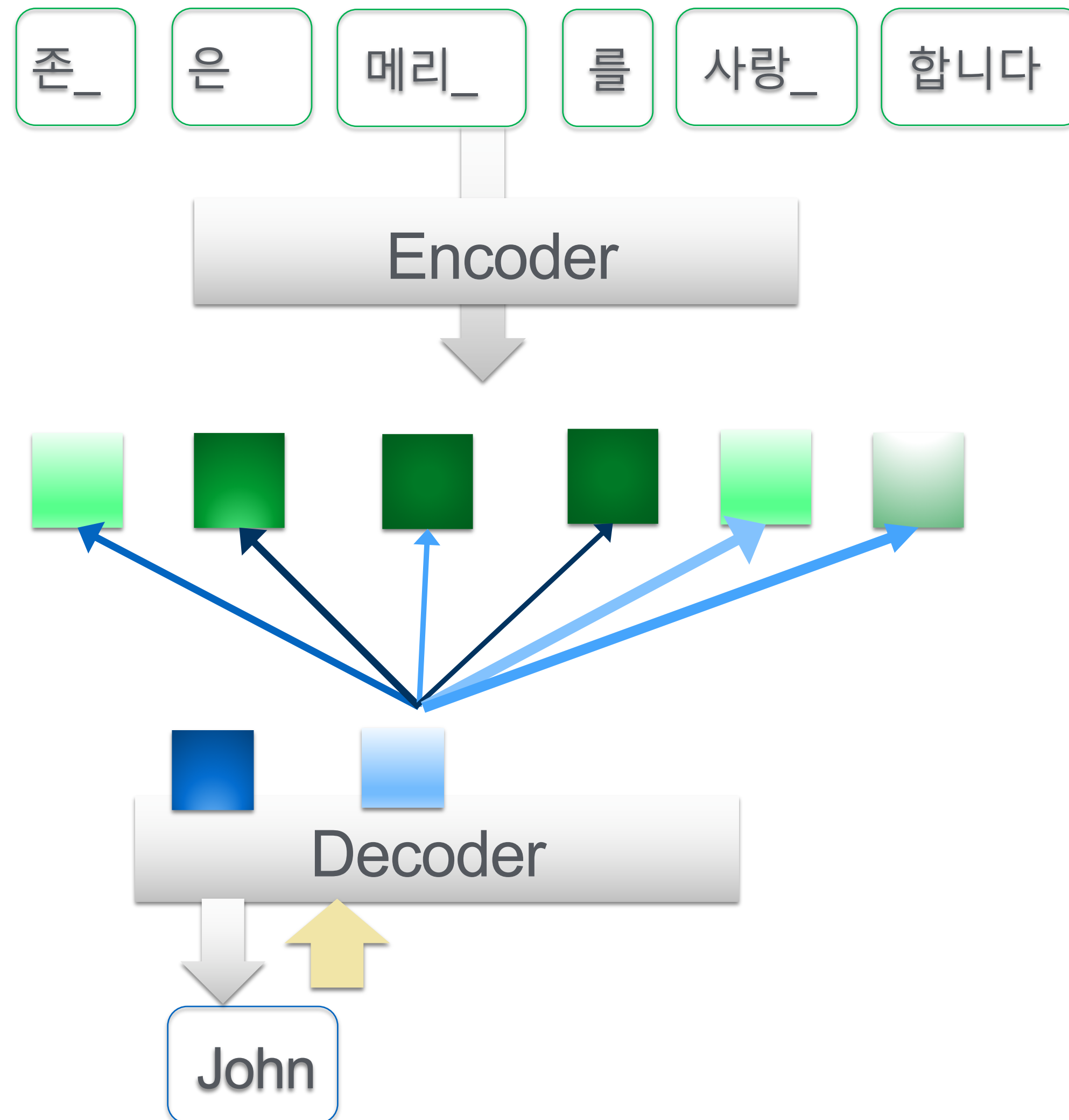
John love\_ s Mary <EOS>



John loves Mary

# Attention Mechanism

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- Intermediate layer
- Learn linear combination given a query ("word" = vector)
- Flexible
- Model Contexts



# Transformer Models, Vaswani et al. 2017

• ~~RNN~~ → ~~Convolution~~ → "Attention is all you Need"

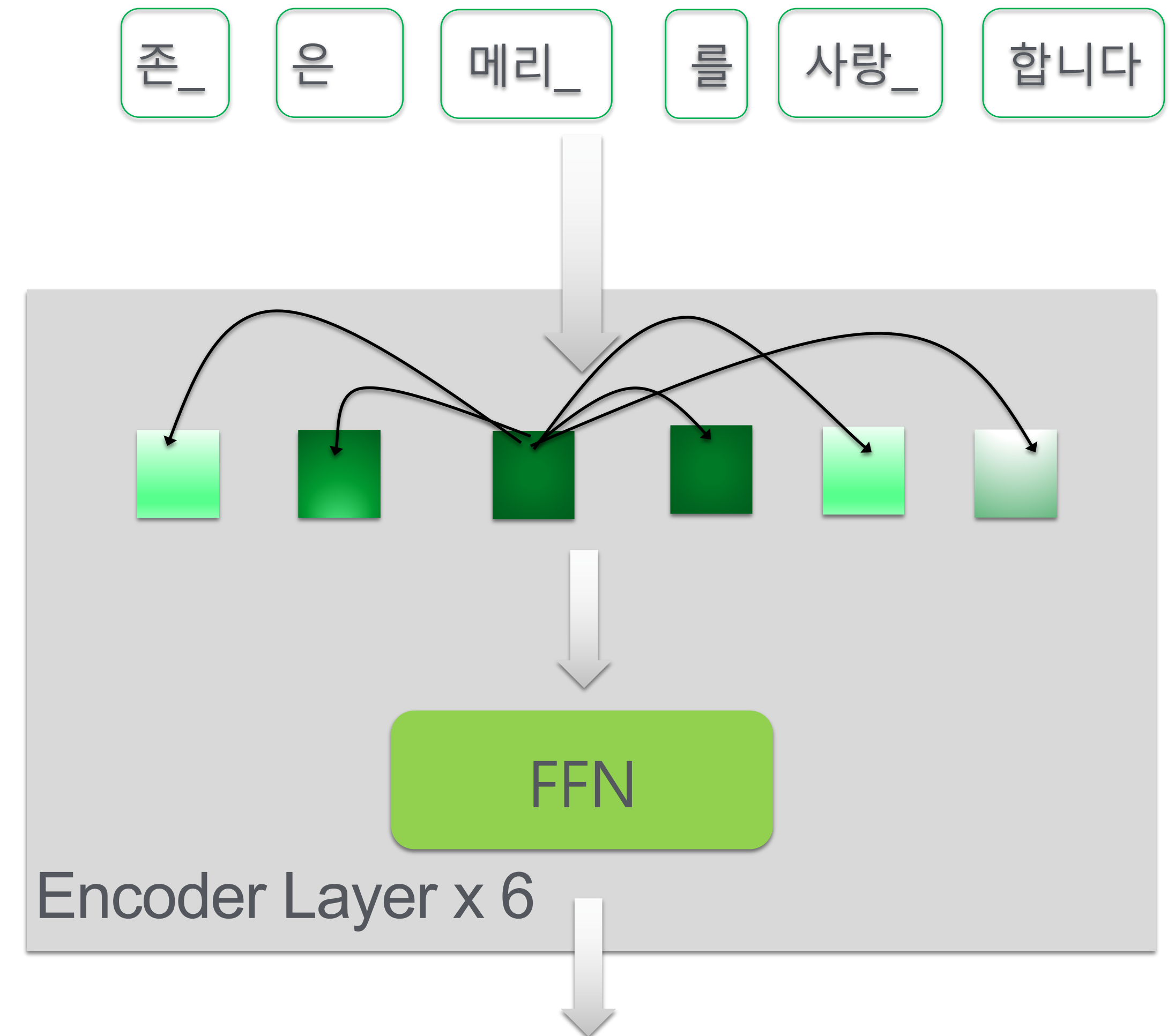
Encoder

Self attention: Each word "pays attention" to all other words

Decoder

Each layer has 'self attention' and attention to encoder

Significant improvement !



# MT reaches human parity ?

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 | **The AI Blog**   The Official Microsoft Blog   Microsoft On the Issues   Transform

## Microsoft reaches a historic milestone, using AI to match human performance in translating news from Chinese to English

March 14, 2018 | [Allison Linn](#)





## Microsoft MT reaches parity with (bad) human translation

Published on March 18, 2018



**Tommi Nieminen**

Translation Technology Developer and  
Translator at Own Company

1 article

[+ Follow](#)



# Robustness of MT Models

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Source sentence	"In home cooking, there is much to be discovered - with a few minor tweaks you can achieve good, if not sometimes better results," said Proctor.
translation (src)	"Beim Kochen zu Hause gibt es viel zu entdecken - mit ein paar kleinen nderungen kann man gute, wenn nicht sogar manchmal bessere Ergebnisse erzielen", sagte Proktor.
translation( UNK + src)	<ul style="list-style-type: none"><li><u>"In home cooking; there is much to be discovered- with few minor tweaks you can achieve good, if not sometimes better results", <b>sagte Proktor</b></u></li></ul>

# Robustness of MT Models

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<b>Source sentence</b>	Freundschaft schließen durch Backen
<b>translation (src)</b>	Make friends through baking.
<b>translation( ich + src) Fluent</b>	Should you want to join us?

Example Taken from : Hallucinations in NMT <https://pdfs.semanticscholar.org/9768/5859d4bcbc3b893425e6cb8fda8e9c15cfcb.pdf>



# Some problems with NMT

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Very good Fluency- Adequacy ?

Too Good Language Model



Model never saw its own errors

Exposure Bias

# Machine Translation Challenges

- Context Based Translations
- Model Robustness
- Evaluation is difficult
- No click logs
- Difficult Problem with a rich literature
- Experiments were/are/will be time consuming
- ...

# Introducing BERT

# BERT

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## Bidirectional Encoder Representations from Transformers (Devlin et al. 2018)

- 🏆 Machine Reading
- Key ingredient of many NLP models/papers
- **excels** at transferring sentences representations
- Word Embedding → Bert Embedding
- "ResNet for Text"

System	Dev		Test	
	EM	F1	EM	F1
Top Leaderboard Systems (Dec 10th, 2018)				
Human	-	-	82.3	91.2
#1 Ensemble - nlnet	-	-	86.0	91.7
#2 Ensemble - QANet	-	-	84.5	90.5
Published				
BiDAF+ELMo (Single)	-	85.6	-	85.8
R.M. Reader (Ensemble)	81.2	87.9	82.3	88.5
Ours				
BERT <sub>BASE</sub> (Single)	80.8	88.5	-	-
BERT <sub>LARGE</sub> (Single)	84.1	90.9	-	-
BERT <sub>LARGE</sub> (Ensemble)	85.8	91.8	-	-
BERT <sub>LARGE</sub> (Sgl.+TriviaQA)	84.2	91.1	85.1	91.8
BERT <sub>LARGE</sub> (Ens.+TriviaQA)	86.2	92.2	87.4	93.2

Table from the original BERT paper Devlin et al.



# The Masked Language Model Task (MLM) (Cloze Task)

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*Predict randomly masked tokens from sentences*

Sentence : 나는\_ 비빔\_ [MASK] 좋\_ 아한다\_.

Transformer Encoder

Predict : 밥을

Contextualized representation thanks to self-attention

# The Next Sentence Prediction Task

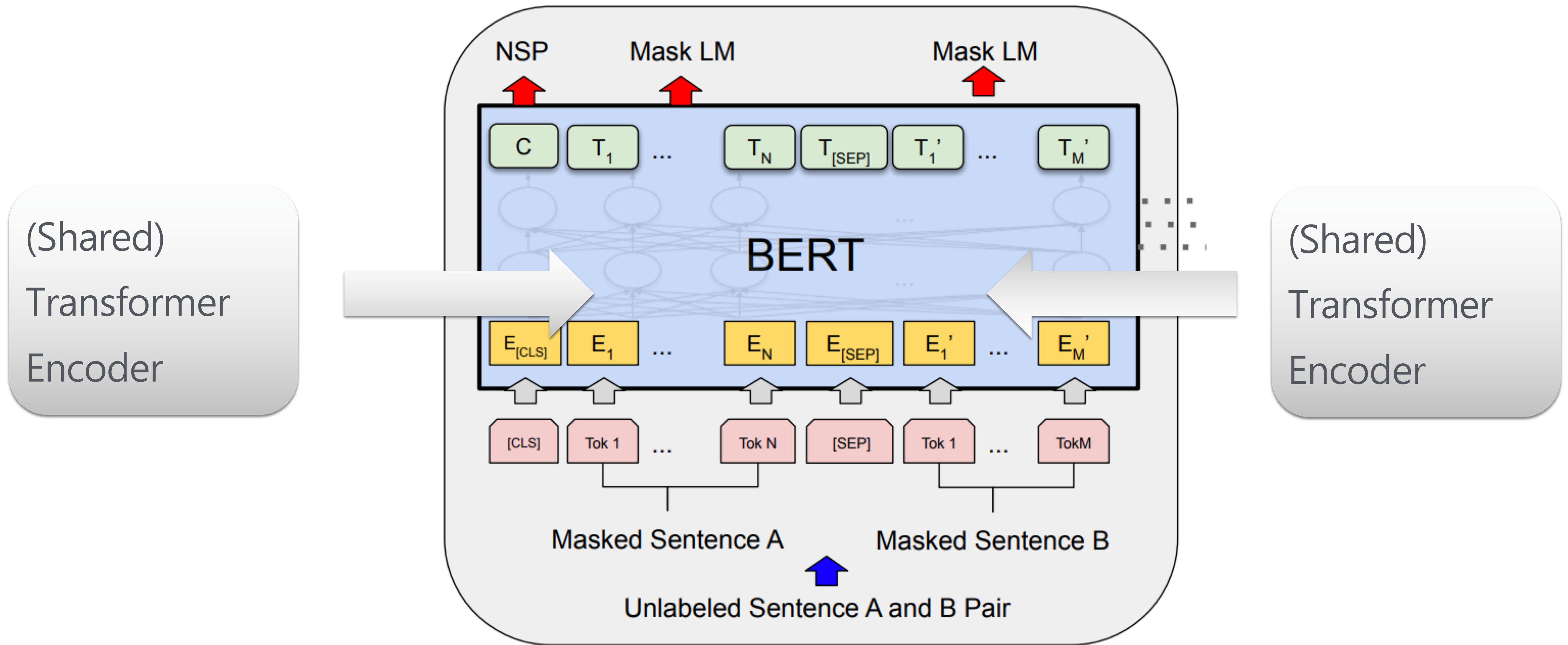
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Are those two sentences consecutive ? Yes/No

저는 비빔밥을 좋아합니다. 하지만 저는 KPOP이 싫어요.

# BERT

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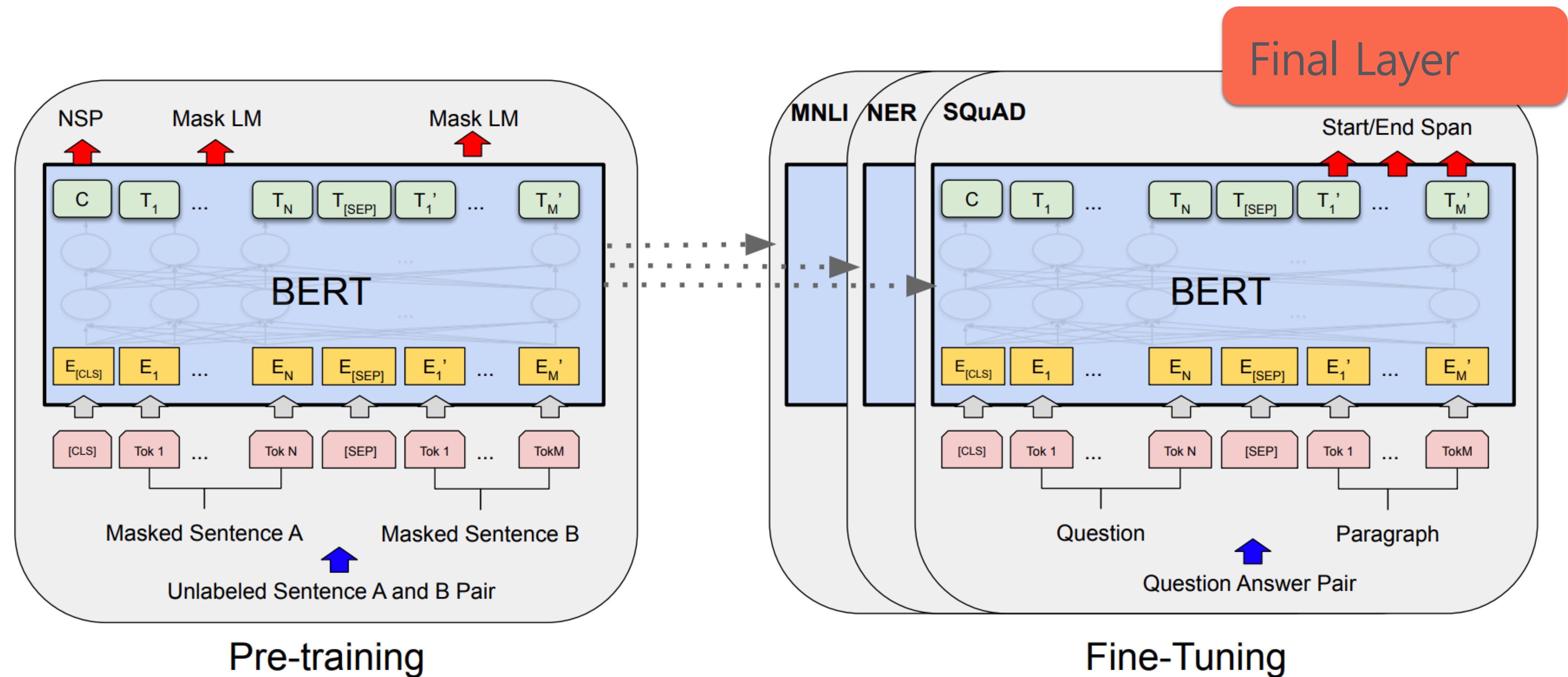


Schema from Devlin et al.

Pre-training

# Finetuning with BERT

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Schema from the original BERT paper (Devlin et al.)

# Practical Details

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Probability of Masking Tokens	15%
Number of Layers	12-24
Vocabulary	~30k
Parameters	110M-330M
Training Corpora	3,300 Million words
Training Time	BERT Large: 64 TPU 4 days

# Two sides of the same ... Encoder

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**BERT** Transformer Encoder  
« Auto Encoder »

12+ Layers  
GELU, Position Embedding, Segment  
Embedding



**NMT** Transformer Encoder  
« Encoder for Translation »

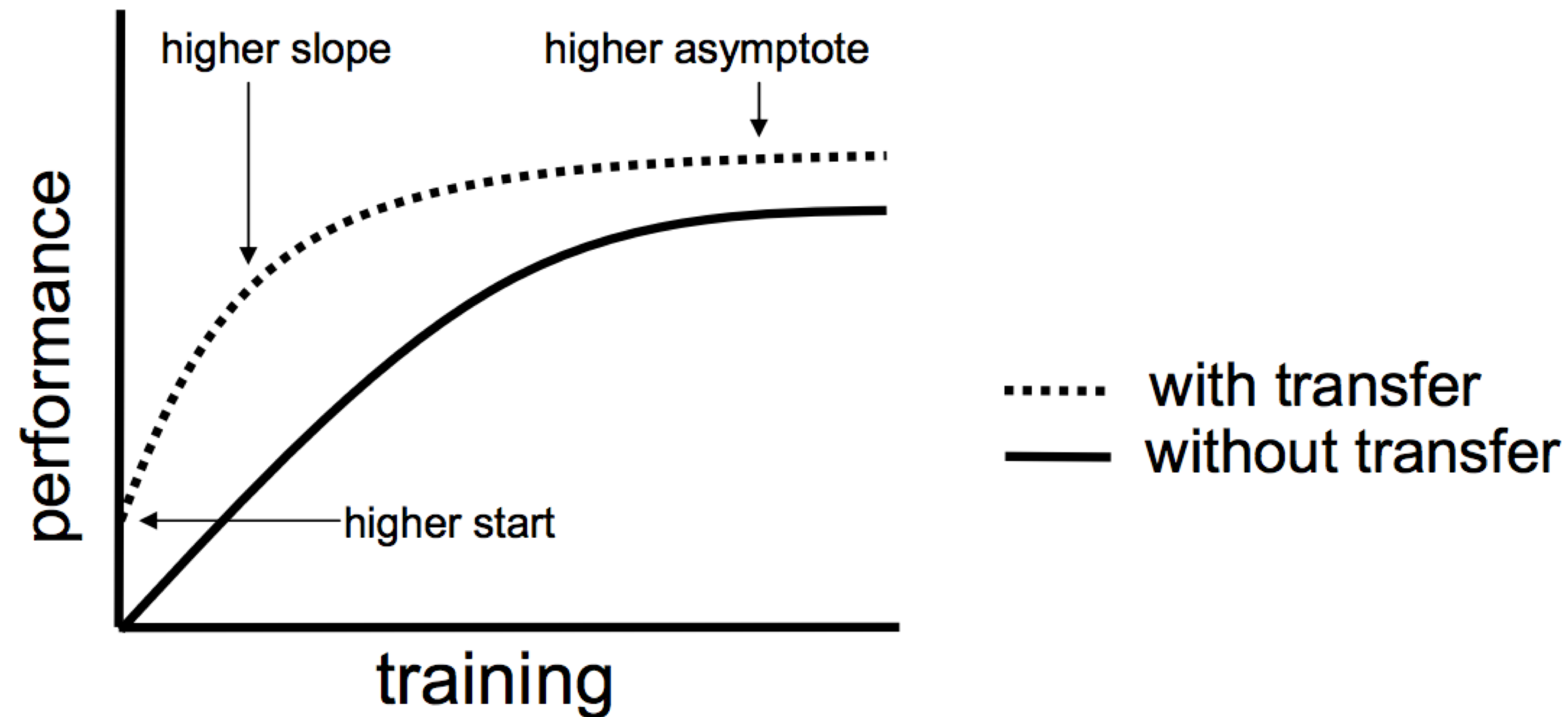
6 Layers  
RELU, Sinusoidal Position Embedding



# Transfer Learning with BERT ?

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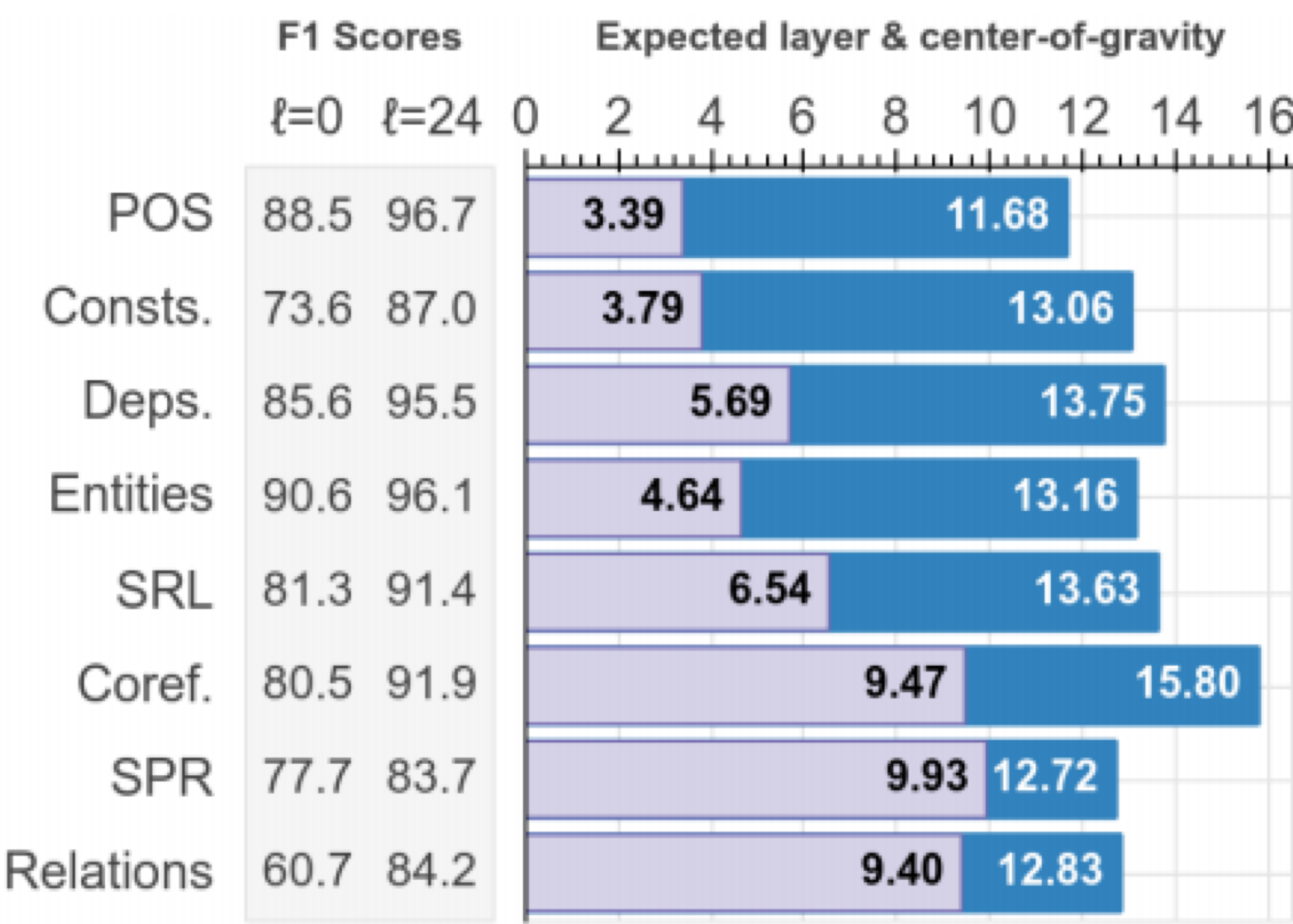
- **Similar Encoders:** can we transfer sentences representations for NMT ?



# BERT Rediscovers the Classical NLP Pipeline, Tenney et al.

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BERT is also very good at capturing, syntactic and semantic information.



# Combining BERT and NMT

# Hypothesis and Questions

- Human translation = text understanding + text generation
- BERT model learns 'text understanding' task
- **Question:** Is NMT encoder restricted to *understanding* only?
- **Hypothesis:** « *Encoder is already translating* »  
NMT encoder has an self encoding effect and translation effect:

# Hypothesis and Questions

Why would pretraining with BERT work better for NMT ?

- More data → better 'understanding'
- BERT and source Domain Adaptation (Transfer Learning)?
- Can we make the encoder more robust ?



# Is BERT encoder more robust?

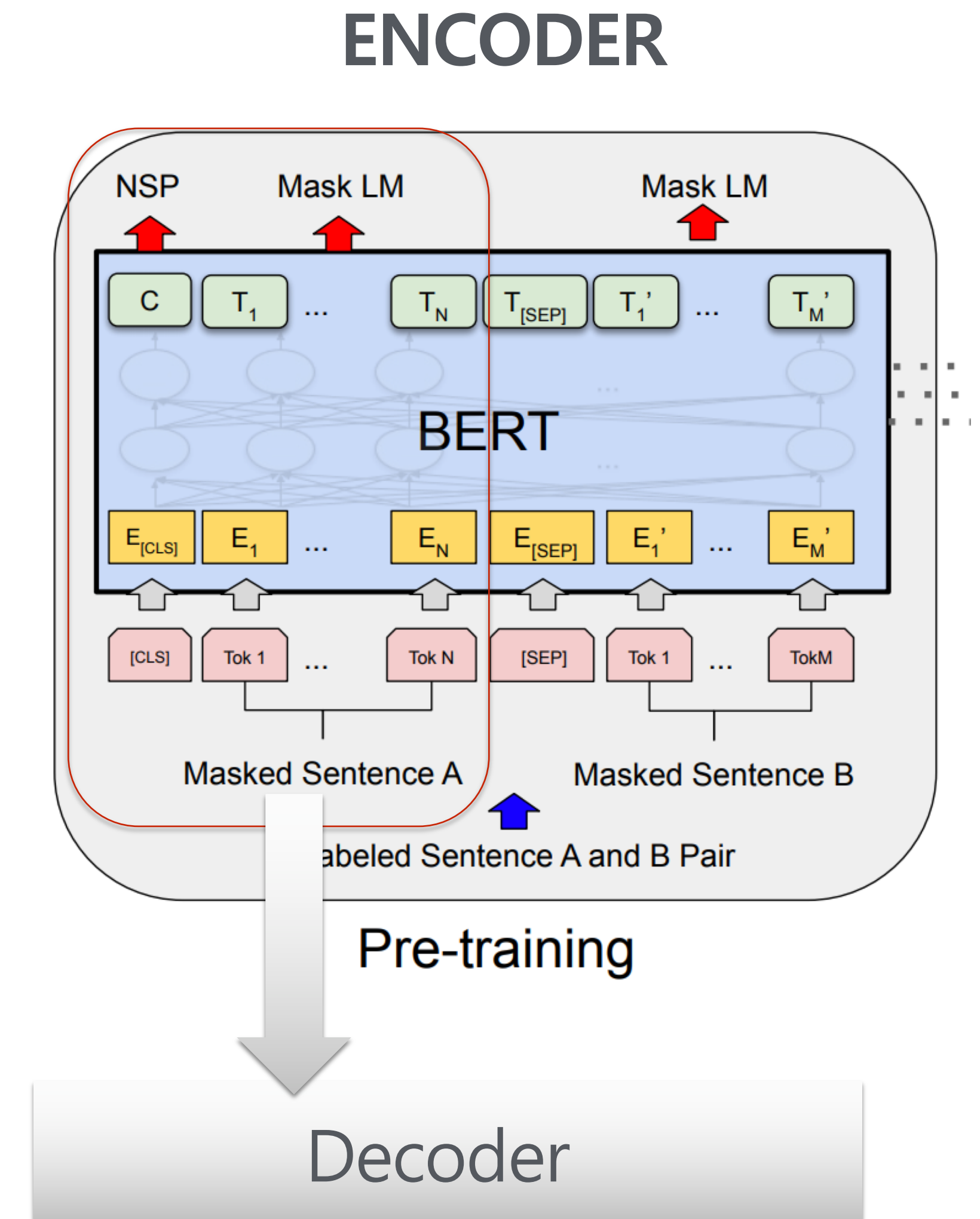
나는\_ 비빔\_ [MASK] 좋\_ 아한다\_

ENCODER

- BERT is trained *to deal with* missing token and find possible replacements
- Does pretraining impact rare/unknown word translation, noisy input ?

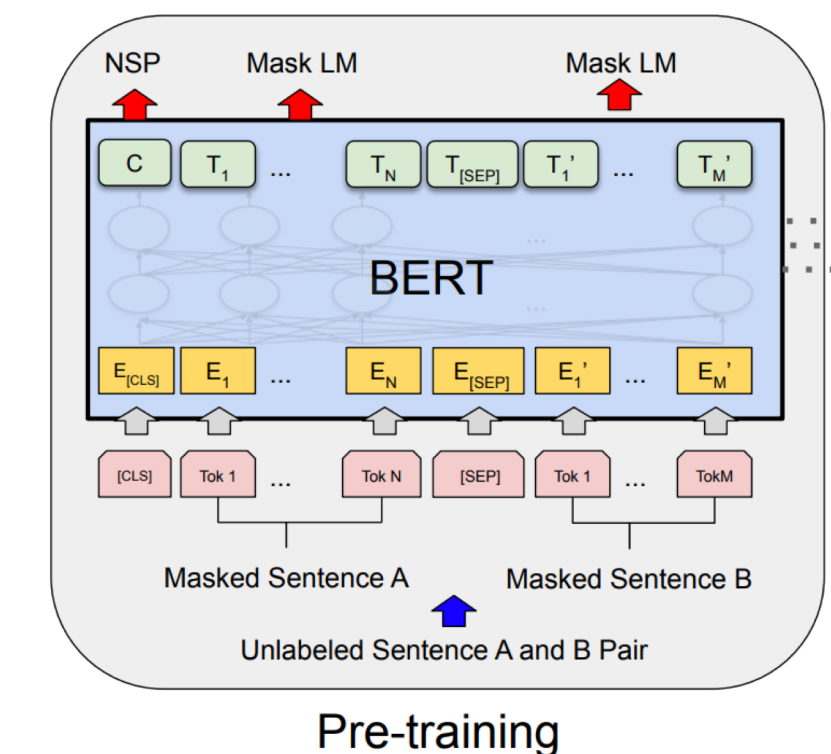
# 1 Finetuning approach

- Initialize and update BERT model
- Simplest
- Tricky for decoder
- *Cross-lingual Language Model Pretraining, Lample et al, 2019*
- *MASS: Masked Sequence to Sequence Pre-training for Language Generation, Song et al.*



## 2.Embedding approach

- Use BERT as the first layers of NMT- encoder
- Can easily work for encoder and decoder
- Can Reuse BERT /ELMO etc
- Deep Encoders



Pre-training



*Pre-trained Language Model Representations for Language Generation , Edunov et al, 2019*

# 3. Multi Encoder Approach

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# 3. Multi Encoder Approach



- Different ways to Transfer BERT encoder to NMT Encoder ...
- *Towards Making the Most of BERT in Neural Machine Translation, Yang et al*
- *Incorporating BERT into NMT* <https://openreview.net/pdf?id=Hyl7ygStwB>
- Promising Results ... but not included here

# Experiments



# Related Works and Motivation

- Reusing encoder ✓ but decoder ✕
- Tasks, Datasets Models are not always comparable 🍏 ≠ 🍐
- Experimental study aiming for systematic comparisons
- Beyond BLEU benefit ? (Domain Adaptation and Robustness)

# BERT+NMT architectures

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- **BERT Setup**

How ?	Why ?
<b>6 Layers</b> BERT Encoder	to be fair with NMT encoder
<b>Relu and Sinusoidal</b> embedding	like original transformer
<b>MASK=UNK</b> token	to test robustness
<b>MLM</b> Task only	NSP had no impact
<b>Frequency</b> Sampling	As Lample et al. XLM
Iterations	300k

# BERT training datasets

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**What is the impact of pretraining data ?**

Dataset	~ Number of Sentences	Description
IWSLT	800K	all IWSLT data available in English
WMT14-En-De.Src	4M	source side of parallel corpus
Wiki	70M	English wikipedia dump
News	210M	70M from News Crawl, News Commentary and Common Crawl <sup>1</sup>

<sup>1</sup> provided by WMT 2019 <http://www.statmt.org/wmt18/translation-task.html>

# BERT+NMT architectures

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- **BERT+NMT architectures**

How ?	Why ?
<b>Freeze</b> : initialize NMT encoder (with BERT) and <b>freeze</b>	Is BERT encoder enough ?
<b>FT</b> : initialize NMT encoder and <b>fine-tune</b>	Simplest approach
<b>Embedding</b> : use BERT encoder output as an input to NMT encoder and finetune	Benefit from Deeper Model ?

# Experiments

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- *medium-high* resource: WMT 2014 English-German: 4M sentences
- *low resources* : IWSLT 2014 English-German: 200k sentences

# WMT 14 English-German

## Experimental settings

<b>Preprocessing</b>	no tokenization, no normalization
<b>BPE</b>	<b>no joint BPE:</b> en: BPE with 32K vocabulary trained on concatenation of Wiki+News (~280M sent) de: 32K BPE for German learnt on target part of WMT 14 parallel data
<b>Transformer</b>	<ul style="list-style-type: none"> <li>- transformer-big model for BERT and NMT</li> <li>- - shared in-out embeddings</li> <li>- - dropout 0.3,...</li> </ul>

→ baseline is slightly different from official baseline



# WMT 14 English-German Evaluation

**MT Evaluation:** hard task

**BLEU** : modified precision of **n-gram co-occurrences**

- between *reference translation* and *hypothesis translation*;
- averaged over 1,2,3,4-grams

## Test Sets

Different domains test sets

**News:** WMT-14, WMT-18

**Speech:** IWSLT-15, OpenSub

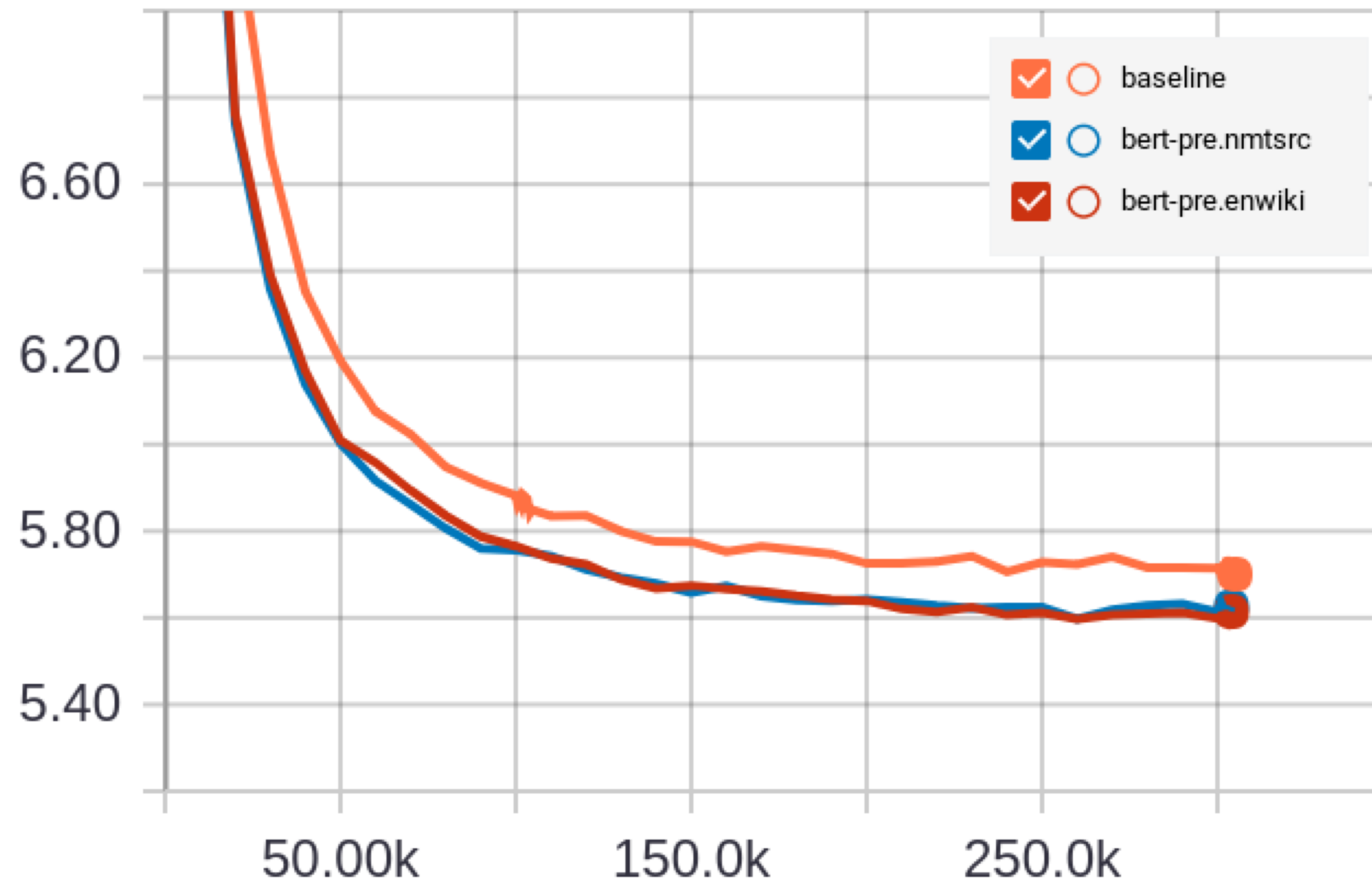
**Technical:** KDE

**Wikipedia:** wiki

# Training Curves

Perplexity: lower is better

dev\_ppl



# WMT English-German: Results

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2019

	wmt14	wmt18	wiki	OpenSub	iwslt15	kde
Baseline	27.3	39.5	17.6	15.3	28.9	18.1

# WMT English-German: Results

DEVIEW  
2019

	wmt14	wmt18	wiki	OpenSub	iwslt15	kde
Baseline	27.3	39.5	17.6	15.3	28.9	18.1
News.Freeze	23.6	35.5	15.0	13.8	26.5	15.1

# WMT English-German: Results

DEVIEW  
2019

	wmt14	wmt18	wiki	OpenSub	iwslt15	kde
Baseline	27.3	39.5	17.6	15.3	28.9	18.1
News.FT	<b>27.9</b>	<b>40.2</b>	<b>18.8</b>	<b>15.7</b>	<b>29.1</b>	17.9
News.Emb	<b>27.7</b>	<b>39.9</b>	<b>18.9</b>	<b>16.0</b>	<b>29.3</b>	<b>18.2</b>

- FT  $\approx$  Emb
- Best Improvement on News and Wiki test set

# WMT English-German: Results

DEVIEW  
2019

	wmt14	wmt18	wiki	OpenSub	iwslt15	kde
Baseline	27.3	39.5	17.6	15.3	28.9	18.1
News.FT	<b>27.9</b>	<b>40.2</b>	<b>18.8</b>	<b>15.7</b>	<b>29.1</b>	17.9
Wiki.FT	<b>27.7</b>	<b>40.6</b>	<b>18.4</b>	<b>15.4</b>	28.7	<b>19.0</b>

- no domain adaptation effect observed



# WMT English-German: Results

DEVIEW  
2019

	wmt14	wmt18	wiki	OpenSub	iwslt15	kde
Baseline	27.3	39.5	17.6	15.3	28.9	18.1
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Wiki.FT	<b>27.7</b>	<b>40.6</b>	<b>18.4</b>	<b>15.4</b>	28.7	<b>19.0</b>

- no domain adaptation effect observed
- wiki.FT seems to be weaker on Speech domain
- News.FT slightly better : is it due to bigger data?

# WMT English-German: Results

DEVIEW  
2019

	wmt14	wmt18	wiki	OpenSub	iwslt15	kde
Baseline	27.3	39.5	17.6	15.3	28.9	18.1
News.FT	<b>27.9</b>	<b>40.2</b>	<b>18.8</b>	<b>15.7</b>	<b>29.1</b>	17.9
Wiki.FT	<b>27.7</b>	<b>40.6</b>	<b>18.4</b>	<b>15.4</b>	28.7	<b>19.0</b>
WMT.En-de.Src.FT	<b>27.7</b>	<b>40.1</b>	<b>18.3</b>	15.3	28.7	<b>18.4</b>

- WMT.EN-De.Src.FT: same data, better performance !
- Better initialization helps training (better source encoding )

# Lessons learnt up to now

- BERT provides good initialization point: even with same data we achieve better performance
- More data  $\geq$  In-domain data
- What about robustness to noise ?

# How to measure robustness ?

Evaluate all the models on synthetic noise test sets:

<b>raw sentence</b>	John loves Mary
<b>UNK.S</b>	<u>&lt;UNK&gt;</u> John loves Mary
<b>UNK.E</b>	John loves Mary <u>&lt;UNK&gt;</u>
<b>chswap</b>	John <u>lvoes</u> Mary
<b>chrand</b>	<u>Johnw</u> loves Mary <u>Jhn</u> loves Mary
<b>uppercase</b>	John <u>LOVES</u> Mary

# WMT English-German: robustness

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2019

	wmt14	+unk.s	+unk.e	+chswap	+chrand	+up
Baseline	27.3	24.8	24.4	24.2	24.7	23.5
WMT.En-de.Src.FT	27.7	24.9	22.9	24.4	25.2	24.5
Wiki.FT	27.7	25.8	24.9	24.4	24.9	24.4
News.FT	27.9	24.9	24.9	24.5	25.3	24.5
News.Emb	27.7	24.7	24.8	24.6	25.3	24.2

NMT+BERT models mostly have higher BLEU scores

**But** that was already the case for clean test

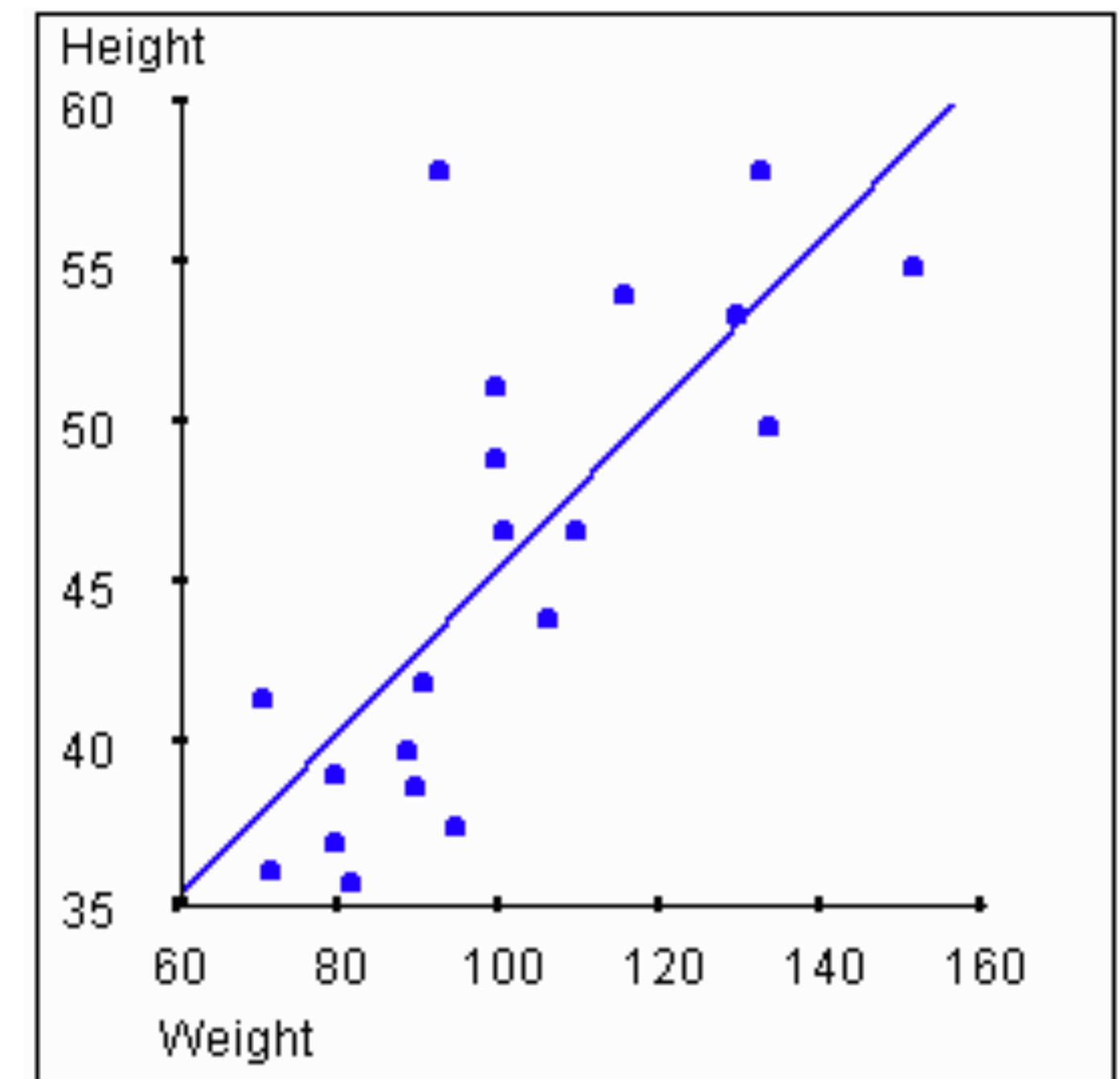
# Assessing Robustness

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*Problem: Test Set and Noisy Test are correlated. So are their BLEU scores ...*

Why a model is better on noisy test sets ?

- Is the model better in general ?
- Is the model more robust ?
- Decrease in number of 'hallucinations' ?



# WMT English-German: $\Delta$ BLEU

DEVIEW  
2019

	wmt14	+unk.s	+unk.e	+chswap	+chrand	+up
Baseline	27.3	24.8 / -2.5	24.4 / -2.9	24.2 / -3.1	24.7 / - 2.5	23.5 / -3.8
WMT.En-de.Src.FT	27.7	24.9 / -2.6	22.9 / -4.8	24.4 / -3.3	25.2 / -2.5	24.5 / -3.2
Wiki.FT	27.7	25.8 / -1.9	24.9 / -2.8	24.4 / -3.3	24.9 / -2.8	24.4 / -3.3
News.FT	27.9	24.9 / - 3.0	24.9 / -3.0	24.5 / -3.4	25.3 / -2.6	24.5 / -3.4
News.Emb	27.7	24.7 / -3.0	24.8 / - 2.9	24.6 / - 3.1	25.3 / -2.6	24.2 / -3.5

- BLEU is higher, but BLEU delta is lower (or the same) for most of the models !
- Problem with  $\Delta$ BLEU: is it really interpretable?



# $\Delta$ chrf : robustness

**chrF** : characters n-gram based F-measure

(Showed good correlation at sentence-level)<sup>1</sup>

$$\Delta(\text{chrF}) = \text{chrF}(M(\text{src}_{\text{noisy}})) - \text{chrF}(M(\text{src}_{\text{clean}}))$$

Distribution of  $\Delta(\text{chrF})$  for each model:

- more sentence with negative  $\Delta(\text{chrF})$  indicate less robust model

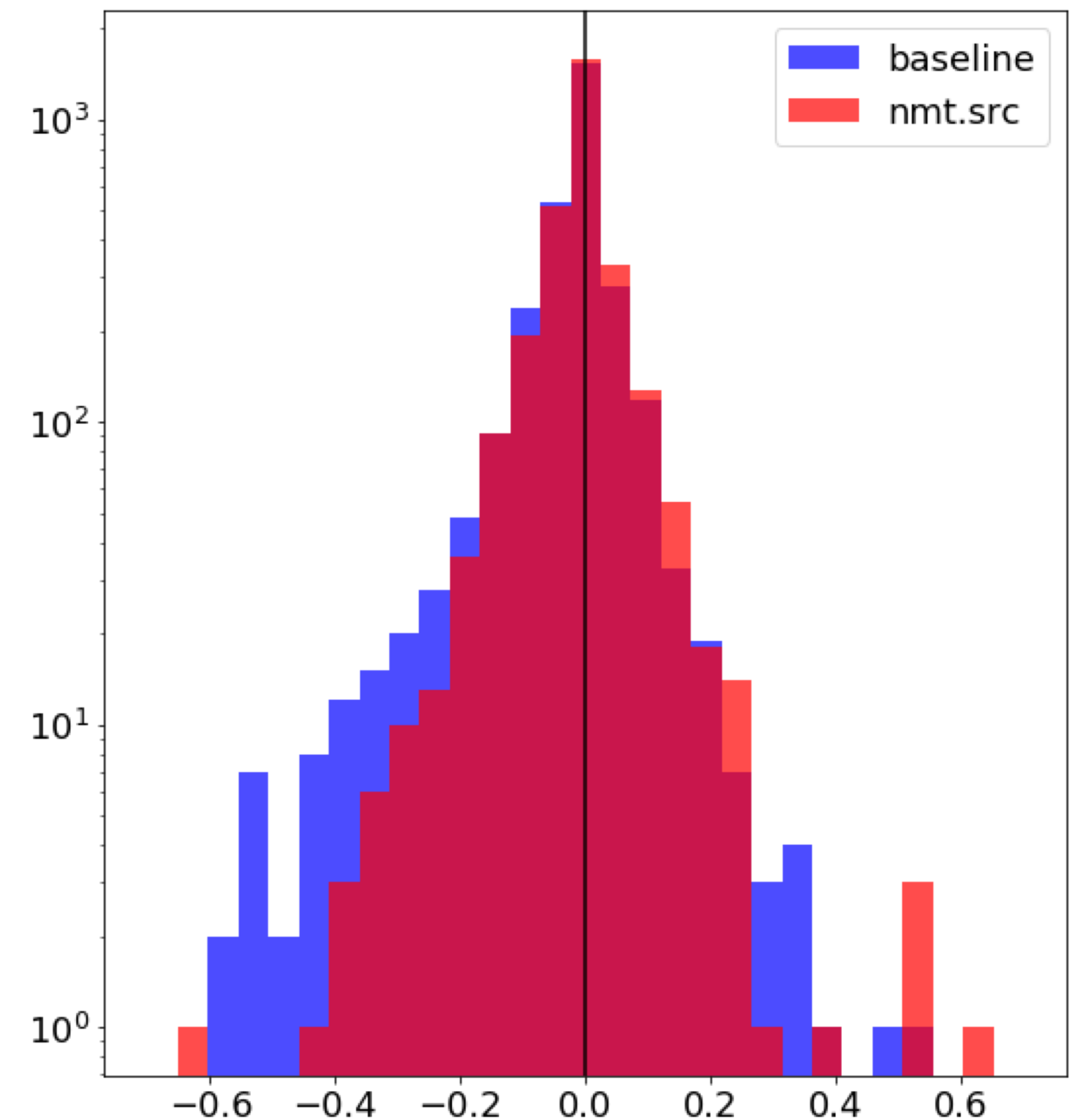
<sup>1</sup> Qingsong Ma, Ondrej Bojar, and Yvette Graham. Results of the wmt18 metrics shared task: Both characters and embeddings achieve good performance. WMT 2018

# What we would expect ...

## $\Delta$ chrf Distribution

Less sentences have big decrease in chrf.

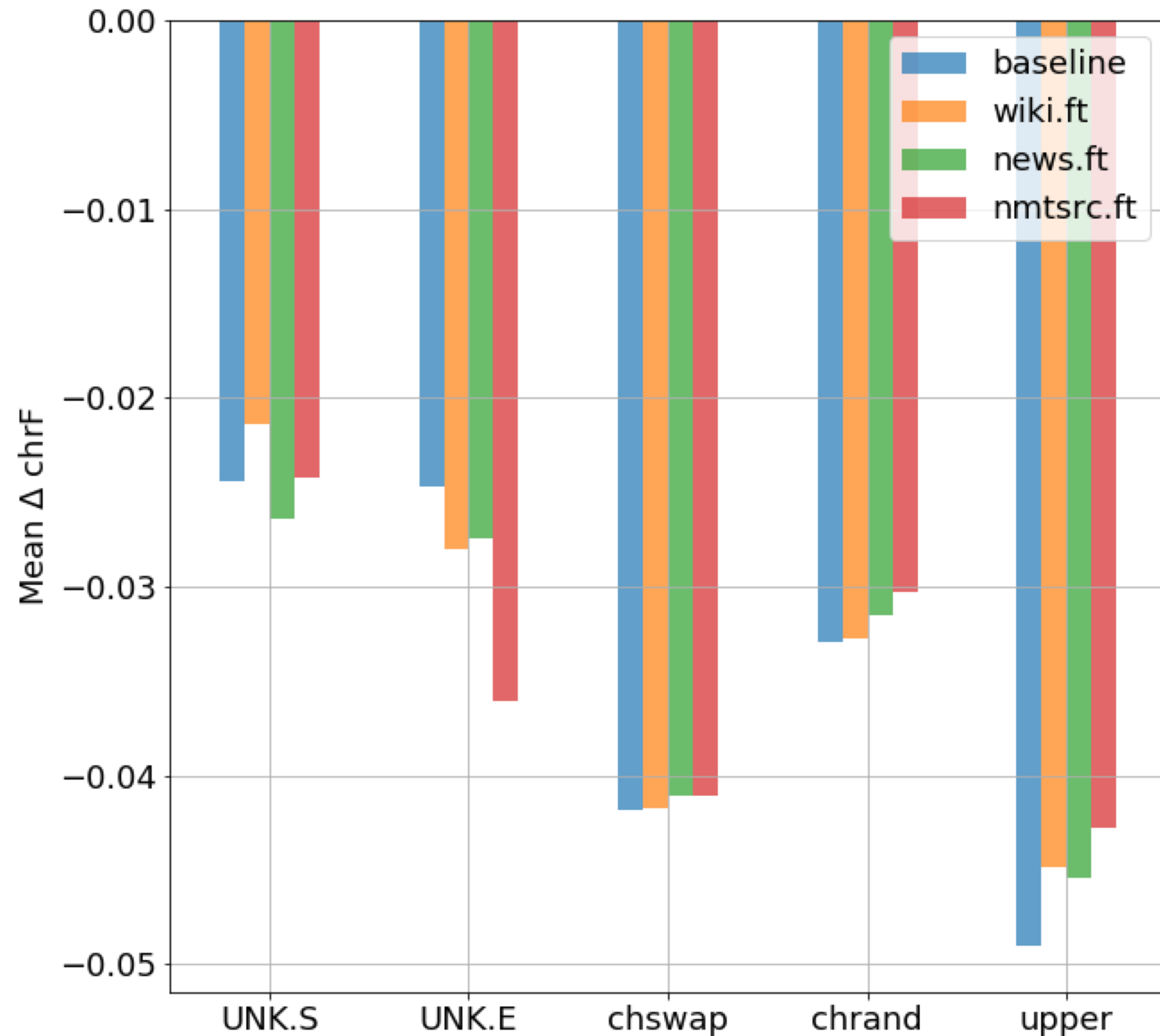
(Note some sentences could be improved when adding noise, possibly correcting undertranslations)



*figure obtained with models trained until 100k iterations*

# Mean $\Delta\text{chrf}$

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*higher mean  $\Delta\text{chrf}$   $\rightarrow$  better*

- UNK.S, UNK.E : BERT+NMT model are not really more robust
- Upper: NMT+BERT is more robust
- Chswap, chrاند: BERT + NMT slightly more robust

# Experiments

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- *medium-high* resource settings: WMT 2014 English-German
- *low resources* settings: IWSLT 2014 English-German, English-Russian

# IWSLT 15 English-German, English-Russian

**DEVIEW  
2019**

Motivation:

- Check that BERT pretrained model can be reused in different domain, different language pairs
- low resource settings

# IWSLT 15 English-German, English-Russian

## Experimental settings

- Monolingual: ~800K English sentences;
- Bilingual : ~200K sentences
- Baseline: BPE 10K vocabulary, transformer base model
- IWSLT BERT: transformer based with 10K vocabulary
- News, Wiki BERT: same as previously (32k BPE, transformer big)
- BERT+NMT: Initilized encoder with BERT and finetune it with NMT

# IWSLT 15 English-German, English-Russian

	en-de	en-ru
	Baseline	
<i>tbase.bpe10k</i>	25.9	9.6
<i>tbase.dec3.bpe10k</i>	26.4	16.3

	BERT+NMT	
IWSLT.FT. <i>tbase.bpe10k</i>	27.4	17.6
IWSLT.FT. <i>tbase.dec3.bpe10k</i>	27.2	<b>18.1</b>
Wiki.FT. <i>tbig.bpe32k</i>	26.9	17.6
Wiki.FT. <i>tbig.dec3.bpe32k</i>	<b>27.7</b>	17.8
News.FT. <i>tbig.bpe32k</i>	27.1	17.9
News.FT. <i>tbig.dec3.bpe32k</i>	27.6	17.9

- Baseline: smaller model → better performance (due to data size)
- **All** BERT + NMT better
- **Domain** of pretrained BERT does not matter
- **Convergence**: we can train big model if we have good initialization point (≠ Divergence)

Similar (or better) improvements for other language pairs



# Conclusion & Lessons Learned

# Motivation

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How

Why

can **BERT** improve Machine Translation Models ?

# Lessons Learned

*How can **BERT** improve Machine Translation Models ?*

- Finetuning simple and convenient
- Train Deeper NMT Models (cf PreNorm and PostNorm in Transformers, ACL'19)
- Multi-Encoder Approach will be the best
- MLM on NMT source bring improvement for various language pairs
- Not enough GPU? MLM on your task and dataset may already bring improvement

# Lessons Learned

*Why can **BERT** improve Machine Translation Models ?*

- BERT provides a better initialization point for NMT encoder :  
More data, better text 'understanding'
- Role of NMT Encoder (→ multi encoder)
- But BERT pretraining is not enough to correct robustness issue and exposure bias.

Interested by NMT ?

[bit.ly/papago-mt-recruit-201908](https://bit.ly/papago-mt-recruit-201908)  
[europe.naverlabs.com/careers/](https://europe.naverlabs.com/careers/)

Q & A

# Thank You